



ANNUAL COMPLIANCE AUDIT STATUS

AS PRESCRIBED BY SECURITIES AND EXCHANGE BOARD OF INDIA (SEBI), AND
BSE LIMITED

Amit Kukreja – Investment Adviser

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Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of Securities and Exchange Board of India (Investment Advisers) Regulations, 2013 for financial years are as under:

Financial Year	Compliance Audit Status	Remarks, if any
FY 2021-22	Conducted	Audit Report dt. 27 th September 2022 submitted on same date to SEBI & BASL
FY 2022-23	Conducted	Audit Report dt. 21 st September 2023 submitted on same date to SEBI & BASL
FY 2023-24	Conducted	Audit Report dt. 28 th September 2024 submitted on same date to SEBI & BSE
FY 2024-25	Conducted	Audit Report dt. 29 th September 2025 submitted on BSE portal.

References:

1. Regulation 19(3) of the SEBI (Investment Advisers) Regulation, 2013
2. SEBI circular no. SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020
3. BASL circular no. 20220519-1 dated May 19, 2022