



## ANNUAL COMPLIANCE AUDIT STATUS

AS PRESCRIBED BY SECURITIES AND EXCHANGE BOARD OF INDIA (SEBI), AND  
BSE ADMINISTRATION AND SUPERVISION LIMITED (BASL)

**Amit Kukreja – Investment Adviser**

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Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of Securities and Exchange Board of India (Investment Advisers) Regulations, 2013 for last and current financial year are as under:

Sr. No.	Financial Year	Compliance Audit Status	Remarks, if any
1	FY 2020-21	Conducted	Audit Report dt. 31 <sup>st</sup> December 2021 submitted on 31 <sup>st</sup> January 2022 to SEBI & BASL
2	FY 2021-22	Not Conducted	Due by 30 <sup>th</sup> September 2022 & due for submission to SEBI & BASL by 31 <sup>st</sup> October 2022

### References:

1. Regulation 19(3) of the SEBI (Investment Advisers) Regulation, 2013
2. SEBI circular no. SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020
3. BASL circular no. 20220519-1 dated May 19, 2022